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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/517,750	06/10/2005	Wolfgang Clemens	411000-122	6074

7590 08/18/2006

Carella Byrne Bain Gilfillan  
5 Becker Farm Road  
Roseland, NJ 07068

EXAMINER

HO, HOANG QUAN TRAN

ART UNIT	PAPER NUMBER
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2818

DATE MAILED: 08/18/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b> 10/517,750	<b>Applicant(s)</b> CLEMENS, WOLFGANG	
	<b>Examiner</b> Hoang-Quan Ho	<b>Art Unit</b> 2818	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE \_\_\_\_ MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 13 December 2004.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 1-9 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-3, 5, 7 and 8 is/are rejected.
- 7) ☒ Claim(s) 4, 6 and 9 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 13 December 2004 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \*    c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                        | 4) <input type="checkbox"/> Interview Summary (PTO-413)                     |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)               | Paper No(s)/Mail Date. ____.  |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date ____.  | 6) <input type="checkbox"/> Other: ____.                                    |

## DETAILED ACTION

### ***Claim Objections***

Claims 4, 6, and 9 are objected to under 37 CFR 1.75(c) as being in improper form because multiple dependent claim(s) *-should refer to other claims in the alternative only--*, and/or, *--cannot depend from any other multiple dependent claim--*. See MPEP § 608.01(n). Accordingly, the claims have not been further treated on the merits.

### ***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claim 5 is rejected under 35 U.S.C. 102(b) as being anticipated by Heeger et al (U.S. Pat. Pub. No. 2002/0022284).

Regarding claim 5, par. 0102 of Heeger teaches a method of increasing the charge carrier mobility of a conducting or semiconducting layer of organic material, wherein the conducting or semiconducting layer is formed on an undersurface comprising an oriented, stretched (well-ordered) plastics film. Heeger teaches that such OLEDs are fabricated on such substrates and are interconnected to a display by

transistors on the same substrate.

Claim 7 is rejected under 35 U.S.C. 102(b) as being anticipated by Wakita et al (U.S. Pat. No. 5,546,889).

Regarding claim 7, Wakita teaches an organic field effect transistor (OFET) having a semiconducting layer of organic material (MEH-PPV; Col. 12, lines 42 – 53) which exhibits a charge carrier mobility of  $\mu > 10^{-3} \text{ cm}^2/\text{Vs}$  (Col. 12, line 53).

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1 and 3 are rejected under 35 U.S.C. 103(a) as being unpatentable over Heeger et al (U.S. Pat. Pub. 2002/0022284) in view of Hagler et al (Phys. Rev. B, vol. 44, no. 16).

Regarding claim 1, par. 0102 of Heeger teaches a substrate and/or underlayer (UHMW-PE) of an electronic component (organic light emitting diode), which substrate or underlayer is to be coated with an organic functional layer (MEH-PPV), wherein said substrate or underlayer comprises a partially crystalline and/or axially stretched (well-

ordered) (oriented) plastics film such the orderliness of the plastics film enables the application of the functional material thereto in the form of a well-ordered layer (a light emitting diode emits polarized light, the MEH-PPV layer is also oriented).

The axially stretched limitation is not disclosed by Heeger, which indicates that the same axially stretched as that used in Hagler with respect to the copolymer MEH-PPV-PE (page 8654, col. 1, lines 4 – 14 and col. 2, lines 1 – 2 “the draw axis”) is used for the UHMW-PE substrate.

Claims 2 – 3 and 8 are rejected under 35 U.S.C. 103(a) as being unpatentable over Heeger et al (U.S. Pat. Pub. 2002/0022284) in view of Hagler et al (Phys. Rev. B, vol. 44, no. 16) and in further view of Bradley (J. Phys. D: Appl. Phys., vol. 20).

Regarding claim 2, Heeger and/or Hagler teaches a substrate as defined in claim 1, but fails to teach wherein the plastics film is at least partially crystalline and/or biaxially stretched. Bradley teaches that it is known in the art to provide a monoaxial stretching of a polymeric film (page 1393, col. 1, lines 5 – 38). Also, one having ordinary skill in the art in the area of polymeric films would know that films could also be biaxially stretched. It would have been obvious to one having ordinary skill in the art at the time the invention was made to provide the device of Heeger and/or Hagler with the plastics film stretched, in order to increase area covered.

Regarding claims 3 and 8, Heeger and/or Hagler teaches a substrate as defined in claim 1 and 2, but fails to teach wherein the plastics film is monoaxially or biaxially stretched. Bradley teaches that it is known in the art to provide a monoaxial stretching of a polymeric film (page 1393, col. 1, lines 5 – 38). Also, one having ordinary skill in the art in the area of polymeric films would know that films could also be biaxially stretched. It would have been obvious to one having ordinary skill in the art at the time the invention was made to provide the device of Heeger and/or Hagler with the plastics film stretched, in order to increase area covered.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Hoang-Quan Ho whose telephone number is (571) 272-8711. The examiner can normally be reached on Monday - Friday, 8AM - 4 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Matthew Smith can be reached on (571) 272-1907. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

HQH  
August 1, 2006

*Douglas W. Owens 8/7/06*

DOUGLAS W. OWENS  
PRIMARY EXAMINER